

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicants: | Peter Sui Lun Fong et al. |) |
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| Serial No.: | 10/658,043 |))) |
| Patent No. : | 7,068,941 |) |
| Issued: | June 27, 2006 | Contra |
| For: | Interactive Talking Dolls | Certificate JUL 1 2 2000 |
| Commission P.O. Box 14: | cate of Corrections Branch er for Patents 50 | of Correction |

REQUEST FOR CERTIFICATE OF CORRECTION PURSUANT TO 37 C.F.R. § 1.322

Dear Sir/Madam:

Enclosed herewith is a Certificate of Correction for U.S. Patent 7,068,941 issued June 27, 2006. Upon reviewing the Notice of Cited References, the patentee noted that the following errors were made by the Patent and Trademark Office, which should be corrected through the addition of those erroneously omitted references which are identified as follows:

William L. Wolfe, "The Infrared Handbook," Environmental Research Institute of Michigan, 4 pages, 1978, Michigan.

Fred Martin, "Children, Cybernetics, and Programmable Turtles," Thesis for the Master of Science degree, June 23, 1989, 91 pages, Boston, Massachusetts.

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MIT Media Laboratory. "The Programmable Brick FAQ." 5 pages. 12 Dec. 2004. http://lcs.www.media.mit.edu/groups/el/projects/programmable-brick/more.html

As to the error in the Notice of Cited References, a review of the <u>second</u> Notice of Allowance (mailed July 5, 2005) and the subsequent Supplemental Notice of Allowance (mailed July 19, 2005) confirms that the above-identified references were submitted to the Patent Office via three supplemental Information Disclosure Statements filed on December 2, 2004, December 10, 2004 and March 10, 2005, respectively, and that each of the cited references were considered by the Examiner (as each reference listed on the PTO/SB/08B forms were initialed by the Examiner). As evidence of such references being considered and entered by the Examiner, attached as Exhibits A and B are copies of that <u>second</u> Notice of Allowance and Supplemental Notice of Allowance showing the Examiner's initials on each reference listed on the PTO/SB/08B forms.

Applicant respectfully submits that since the errors for which a Certificate of Correction is sought were the result of a Patent and Trademark Office mistake, no fee is due (35 U.S.C. § 254). However, should any additional fee be necessary please charge Deposit Account number 19-4330.

Date: 7 (3 06

Customer No.: 007663

Respectfully submitted,

Mark B. Garred

By:

Registration No. 34,823

STETINA BRUNDA GARRED & BRUCKER

75 Enterprise, Suite 250

Aliso Viejo, California 92656

Telephone: (949) 855-1246

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MAILING ADDRESS OF SENDER (Please do not use customer number below):

Mark B. Garred, Esq. Stetina Brunda Garred & Brucker 75 Enterprise, Suite 250 Aliso Viejo, CA 92656

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Mark B. Garred, Esq. Stetina Brunda Garred & Brucker 75 Enterprise, Suite 250 Aliso Viejo, CA 92656

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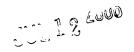
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Andrea K. Levine

(Typed name of person signing certificate)

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- 2. Transmittal;
- 3. Request for Certificate of Correction (5 pages);
- 4. PTO/SB/44 Certificate of Correction (6 pages);
- 5. A copy of the Second Notice of Allowance mailed July 5, 2005 (appended hereto as Exhibit A);
- 6. À copy of the Supplemental Notice of Allowance mailed July 19, 2005 (appended hereto as Exhibit B); and
- 7. Return Receipt Postcard

29, 2000

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/658,043 Filing Date TÀ ANSMITTAL 09/09/2003 First Named Inventor **FORM** Peter Sui Lun Fong JUL 1 0 2006 Art Unit 3712 **Examiner Name** John Ricci all correspondence after initial filing) Attorney Docket Number NORLA-001C5 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Identify Terminal Disclaimer **Extension of Time Request** below): Request for Certificate of Correction, Request for Refund **Express Abandonment Request** Certificate of Mailing and Return CD, Number of CD(s) _ Postcard Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name ARRED & BRUCKER Signature Printed name Garred Mark B Date Reg. No. 34,823 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail-in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date 07/03/2006 Andrea K. Levine Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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ICE OF ALLOWANCE AND FEE(S) DUE

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07/05/2005

STETINA BRUNDA GARRED & BRUCKER 75 ENTERPRISE, SUITE 250 ALISO VIEJO, CA 92656 EXAMINER

RICCL JOHN A

ART UNIT

PAPER NUMBER

3714

DATE MAILED: 07/05/2005

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/658,043 | 09/09/2003 | Peter Sui Lun Fong | NORLA-001C5 | 1979 |

TITLE OF INVENTION: INTERACTIVE TALKING DOLLS

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | YES | \$700 | \$300 | \$1000 | 10/05/2005 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE: .

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status above is to be removed, check box 5b on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B Fee(s). Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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| indicated unless corrected | below or directed otherwise | in Block 1, by (a) specify | ing a new (| correspondence addres | will be mailed to the current s; and/or (b) indicating a sep- | arate "FEE ADDRESS" for |
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| STETINA BRUI | NDA GARRED & B | RUCKER | | C | ertificate of Mailing or Trans | emission |
| 75 ENTERPRISE, | | | | I hereby certify that | his Fee(s) Transmittal is bein | g deposited with the United |
| ALISO VIEJO, CA | | | | States Postal Service addressed to the Ma | his Fee(s) Transmittal is bein with sufficient postage for fir il Stop ISSUE FEE address PTO (703) 746-4000, on the c | st class mail in an envelope above, or being facsimile |
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| | | | | | | (Depositor's name) |
| | | • | | | | (Signature) |
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| nonprovisional | YES | \$700 | | \$300 · | \$1000 | 10/05/2005 |
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| RICCI, J | IOHN A | 3714 | | 398-107000 | • | |
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| PTO/SB/47; Rev 03-02 o Number is required. | r more recent) attached. Use | of a Customer 2 regist | tered patent | attorneys or agents. If it be printed. | | |
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| PLEASE NOTE: Unless | an assignee is identified bel | low no assignee data will a | nnear on t | he natent If an assion | ee is identified below, the do | roument has been filed for |
| recordation as set forth in | 37 CFR 3.11. Completion o | f this form is NOT a substitu | ite for filing | an assignment. | ee is inclinated below, the de | cument has occur they for |
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| Please check the appropriate | assignee category or categori | ies (will not be printed on th | e patent): | ☐ Individual ☐ Co | orporation or other private gro | up entity Government |
| 4a. The following fee(s) are e | | 4b. Payment | | | | , |
| Issue Fee | • | A chec | ck in the am | ount of the fee(s) is en | closed. | • |
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| Advance Order - # of | Copies | The D | irector is h | ereby authorized by cl | narge the required fee(s), or o | redit any overpayment, to |
| 5. Change in Entity Status (| from status indicated above) | | ccount Nur | noer | (enclose an extra co | py of this form). |
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| NOTE: The Issue Fee and Pu interest as shown by the recor | blication Fee (if required) wirds of the United States Pater | Ill not be accepted from anyon and Trademark Office. | one other th | an the applicant; a regi | y paid issue fee to the applicat stered attorney or agent; or the | assignee or other party in |
| Authorized Signature | | · · | _ | Date | | |
| Typed or printed name | | | | Registration | No | |
| This collection of information | is required by 37 CFR 1.31 | 1. The information is require | d to obtain | or retain a benefit by the | ne public which is to file (and | by the USPTO to process |
| an application. Confidentiality | y is governed by 35 U.S.C. I | 22 and 37 CFR 1.14. This of | collection is | estimated to take 12 m | ninutes to complete, including | gathering, preparing, and |
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PTOL-85 (Rev. 12/04) Approved for use through 04/30/2007.



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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---------------------------------|---------------------|----------------------|-------------------------|------------------|
| 10/658,043 | 09/09/2003 | Peter Sui Lun Fong | NORLA-001C5 | 1979 |
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| | | | DATE MAILED: 07/05/2005 | • |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 119 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 119 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571) 272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

| INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) and gives reason(s) and gives reason(s) which gives reason(s) and gives reason(s) which gives reason(s) and gives reason(s) | FONG ET AL Art Unit 3714 The sheet with the correspondence and CLOSED in this application. If not including the communication will be mailed in displication is subject to withdrawal from is 38. The sheet with the correspondence and CLOSED in this application. If not including the mailed in displication is subject to withdrawal from is 38. The sheet with the correspondence and CLOSED in this application. If not including the mailed in displaying the mailed in displ | duded fue course. THIS ssue at the initiation |
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| noted below. Failure to timely comply will result in ABANDONMENT of this appendix THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) when the control of the contr | | requirements |
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| Attachment(s) . Notice of References Cited (PTO-892) 5. | Notice of Informal Patent Application (F | PTO-152) |
| . Notice of Draftperson's Patent Drawing Review (PTO-948) 6. | • | • |
| Paper No./Mail Date 12/6/04, 12/13/04, 3/14/05 | Interview Summary (PTO-413), | • |
| . ☐ Examiner's Comment Regarding Requirement for Deposit 8. ☑ of Biological Material 9. ☐ | | UILUMANA |

Application/Control Number:

10/658,043

Art Unit: 3714

The following is an examiner's statement of reasons for allowance: The prior art does not disclose an interactive wireless device including an optical receiver having means for receiving a first digitally encoded optical signal from a complementary wireless device; a transducer for generating an electrical digital logic signal corresponding to the received optical signal; a decoder for decoding the digital logic signal to generate control signal; an output unit for generating a response according to the control signal; a memory for storing data for a motivational signal to be transmitted to the complementary device; a transducer for generating a second digitally encoded optical signal corresponding to a motivational signal; and means for communication of the second optical signal to the complementary device.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

* * * * *

10/658,043

Art Unit: 3714

This letter was prepared by Examiner John Ricci, who can be reached at:

Voice: 571-272-4429

Fax: Use 703-872-9306 for papers to be delivered directly to the mail room, like formal amendments and responses, change of address, power of attorney, petitions.

Use 703-783-0439 for papers to be delivered directly to the Examiner, like informal or proposed responses for discussion, or notes in preparation for an interview.

Response by Fax is encouraged to reduce mail processing time. Please don=t send duplicate papers by mail and Fax.

PTO main switchboard: 800-786-9199.

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JOHN RICCI PRIMARY EXAMINER ART UNIT 3712

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary

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Complete if Known

Application Number 10/658.043

Fairg Date 09/09/2003

First Named Inventor Peter Sui Lun Fong
Ant Unit 3712

Examiner Name Unknown

Attorney Docket Number NORLA-001C5

Sheet U. S. PATENT DOCUMENTS Pages, Columns, Lines, Where Name of Patentee or Publication Oste Examiner Cite Document Number Relevant Passages or Relevant Applicant of Cited Document MAHOD-YYYY No. taltials. Figures Appear Number-Kind Code^{2 # trount} US-10/29/2002 Mackawa et al. 6.471,420 TIS US US. us. ŪŠ. ਜਵ US. US-US-US. IIS. US. US. US-US

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| Application Number | 10/658.043 | | | |
| Filing Date | 09/09/2003 | | | |
| First Named Inventor | Peter Sui Lun Fona | | | |
| | 3712 | | | |
| Examiner Name | Ricci, John A. | | | |
| Attorney Docket Number | NORLA-001C5 | | | |

| | | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | |
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| Examiner Initials* | Cite No. 1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), outhisher, city and/or country where cublished. | L5 |
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| Examiner | John A. Ricci | ah | mi | Date | 6/8/05 |
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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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| INFO | KIVIATION | פות ו | CLOSURE | Filing Date | 09/09/2003 | | |
| STAT | EMENT F | A VS | PPLICANT | First Named Inventor | Peter Sui Lun Fono | | |
| ייאוטן | | ,, ,, | LICANI | Group Art Unit | 3712 | | |
| | (use as many s | sheets a | s necessary) | Examiner Name | Ricci, John A. | | |
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| | | OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS | |
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| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), outlisher, city and/or country where outlished. | Τ² |
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| Examiner | John A. Ricci | and his | Date | 6/8/05 |
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| | | | | Application Number | 10/658.043 | | |
| INFOR | KMATION | DIS | CLOSURE | Filino Date | 09/09/2003 | | |
| CTATI | EMENT RY | VΔ | PPLICANT | First Named Inventor | Peter Sui Lun Fono | | |
| JIAII | | | II LIOAIII | Group Art Unit | 3712 | | |
| | (use as many shi | eets e | s necessary) | Examiner Name | Ricci, John A. | | |
| Sheet | 1 | 01 | 0 | Attorney Docket Number | NORLA-001C5 | | |

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| Examiner Initials* | Cite No.1 | Include name of the eather (in CAPITAL LETTERS), title of the sticle (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), outlisher, city and/or country where outlished | T2 |
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| Examiner Signature | John A. Ricci Primary Examiner | 8h | pri | Date Considered | 6/8/05 | |
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Approved for use through 10/31/2002, OMB 0551-0031
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| | | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | |
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| Examiner | John A. Ricci | the | hi | Date | 6/8/05 |
|-----------|------------------|-----|----|------------|--------|
| Signature | Primary Examiner | 0 | 1 | Considered | 6/8/03 |

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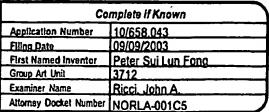
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| Examiner Initials* | Cite No.1 | trictude name of the author (in CAPITAL LETTERS), titls of the erticle (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T |
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Please find below and/or attached an Office communication concerning this application or proceeding.

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| Acknowledgment is made of a claim for foreign priority under a) All b) Some* c) None of the: 1. Certified copies of the priority documents have be 2. Certified copies of the priority documents have be 3. Copies of the certified copies of the priority documents have be 3. Copies of the certified copies of the priority documents have be 3. Certified copies not received: Applicant has THREE MONTHS FROM THE "MAILING DATE" of noted below. Failure to timely comply will result in ABANDONMENTHIS THREE-MONTH PERIOD IS NOT EXTENDABLE. A SUBSTITUTE OATH OR DECLARATION must be submitted INFORMAL PATENT APPLICATION (PTO-152) which gives to a including changes required by the Notice of Draftsperson 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's A Paper No./Mail Date Identifying Indicia such as the application number (see 37 CFR 1.84 each sheet. Replacement sheet(s) should be labeled as such in the company of the priority documents attached Examiner's comment regarding REQUIREMENT FOR the priority documents in the company of the priority documents in the case of the priority documents in the company of the priority documents in the case of the priority documents in the priority documents in the priority documents in the priority document | een received. een received in Application No ments have been received in this r this communication to file a reply of NT of this application. ed. Note the attached EXAMINER' reason(s) why the oath or declarative submitted. es submitted. es patent Drawing Review (PTO-sumendment / Comment or in the O (c)) should be written on the drawin header according to 37 CFR 1.121(d of BIOLOGICAL MATERIAL m | complying with the requirement S AMENDMENT or NOTICE Of the control of the contro | s |
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| Application Number | 10/658.043 | | | |
| Filing Date | 09/09/2003 | | | |
| First Named Inventor | Peter Sui Lun Fona | - | | |
| Group Art Unit | 3712 | | | |
| Examiner Name | Ricci, John A. | | | |
| Attorney Docket Number | NORLA-001C5 | | | |

| OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS | | | | | |
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| 2 | 1 | William L. Wolfe, "The Infared Handbook," Environmental Research Institute of Michigan, 4 pages, 1978, Michigan. | | | |
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